

IN THE U.S. PATENT AND TRADEMARK OFFICE

In re application of

Jacques DEFAYE et al.

Conf.

Application No. NEW NATIONAL PHASE

Group

Filed May 26, 2006

Examiner

NOVEL CYCLODEXTRIN DIMERS AND DERIVATIVES THEREOF, METHODS FOR
PREPARING THEM AND THEIR USE, IN PARTICULAR, FOR THE SOLUBILIZING
PHARMACOLOGICALLY ACTIVE SUBSTANCES

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION CONCURRENT WITH THE
FILING OF A NEW PATENT APPLICATION)

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

May 26, 2006

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, and in fulfillment of
the duty of disclosure under 37 C.F.R. § 1.56, applicant(s) hereby
submit(s) an Information Disclosure Statement for consideration by
the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for
consideration by the Office are listed on PTO-1449, attached
hereto.

II. COPIES

- ☐ Copies of the U.S. patents or publications are not submitted
since the USPTO has waived their submission for applications
filed after June 30, 2003.
- ☐ Submitted herewith is a legible copy of (i) each foreign
patent; (ii) each publication or that portion which caused it
to be listed; and (iii) all other information or that portion
which caused it to be listed.
- ☒ This application is a National Phase of a PCT application.
Some or all of the documents listed on the PTO-1449 are not
enclosed because they were cited in the International Search
Report and copies should have been forwarded from the
International Search Authority pursuant to the trilateral
agreement between the USPTO, EPO and JPO, or they are U.S.
patents or U.S. published applications. If copies are needed,
please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

IPS Rec'd PCT/PTO 26 MAY 2006

a. ☐ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The attached non U.S. patents, non U.S. patent application publications, foreign publications, or other information in the English language do not require a statement of relevancy.

b. ☐ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

c. ☒ **FOREIGN SEARCH REPORT OR ACTION**

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. ☐ **OTHER**

The following additional information is provided for the Examiner's consideration.

FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned.

Respectfully submitted,

YOUNG & THOMPSON

Benoit Castel
Benoit Castel, Reg. No. 35,041
745 South 23rd Street
Arlington, VA 22202
Telephone (703) 521-2297
Telefax (703) 685-0573
(703) 979-4709

BC/mps

Enclosures: ☒ Form PTO-1449(s)
☐ Documents
☒ Foreign Search Report
☐ Other: _____

(Use several sheets if necessary)

Application No.:
NEW NATIONAL PHASE

Filing Date:
May 26, 2006

Group Art Unit:

[illegible]

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
J.L./	WO 90/02141 A	3/8/1990	WIPO				
↓ ↓ ↓	WO 01/51524 A	7/19/2001	WIPO				
	WO 03/052060 A	6/26/2003	WIPO				
	WO 95/21870 A	8/17/1995	WIPO				
	WO 97/33919 A	9/18/1997	WIPO				
	J.L./	EP 0 639 380 A	2/22/1995	EUROPE			

[illegible]

/Jonathan Lau/

07/16/2008

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

* English language abstract provided for the Examiner's convenience

BC/mps